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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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09/653,102

08/31/2000

Mark A. Nordlicht

3024/FLK

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11/14/2007

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EXAMINER

WEISBERGER, RICHARD C

ART UNIT

PAPER NUMBER

3693

MAIL DATE

DELIVERY MODE

11/14/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



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| APPLICATION NO./ CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR / PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. |
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| EXAMINER |
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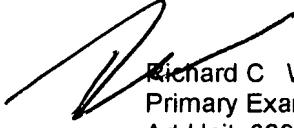
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DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents


Richard C. Weisberger
Primary Examiner
Art Unit: 3693

Art Unit: 3693

1. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

As the Optionable's OPEX options trading platform is a live commercially available system, to advance and consolidate the prosecution, it would be practical for the Examiner to access this system by way of an in-person interview with the Assignee. The applicants are requested to provide a demonstration of the trading system as well as to identify any competing independent software providers identified by the applicant as potentially infringing the claimed invention.

2. This requirement is subject to the provisions of 37 CFR 1.134, 1.135 and 1.136 and has a shortened statutory period of two months. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).**

and identify the properties of similar products and services found in the prior art.



Richard Weisberger

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Primary Examiner

571 272 6753

Application/Control Number: 09/653,102
Art Unit: 3693

Page 3

SPE

James Kramer

SPE 571 272 6783